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## From the iNTERNATIONAL BUREAU

# **PCT**

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

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Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231 ÉTATS-UNIS D'AMÉRIQUE

Date of mailing (day/month/year) 10 February 2000 (10.02.00)	in its capacity as elected Office
International application No. PCT/NL99/00303	Applicant's or agent's file reference BO 41838
International filing date (day/month/year) 18 May 1999 (18.05.99)	Priority date (day/month/year) 18 May 1998 (18.05.98)
Applicant OLSCHEWSKI, Armin, Herbert, Emil, Au	ugust et al

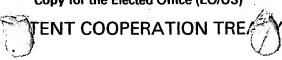
1.	The designated Office is hereby notified of its election made:
	X in the demand filed with the International Preliminary Examining Authority on:
	07 December 1999 (07.12.99)
	in a notice effecting later election filed with the International Bureau on:
2.	The election X was
	was not
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland **Authorized officer** 

R. E. Stoffel

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35



# From the INTERNATIONAL BUREAU To:

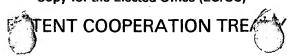
**PCT** 

## **NOTIFICATION OF THE RECORDING OF A CHANGE**

(PCT Rule 92bis 1 and

DE BRUIJN, Leendert, C. Nederlandsch Octrooibureau Scheveningseweg 82

Administrative Instructions, Section 422)	P.O. Box 29720 NL-2502 LS The Hague PAYS-BAS	
Date of mailing (day/month/year) 11 September 2000 (11.09.00)		
Applicant's or agent's file reference BO 41838	IMPORTANT NOTIFICATION	
International application No. PCT/NL99/00303	International filing date (day/month/year) 18 May 1999 (18.05.99)	
The following indications appeared on record concerning:      X the applicant     X the inventor	the agent the common representative	
Name and Address  OLSCHEWSKI, Armin, Herbert, Emil, August Nedereindseweg 121 NL-3438 AC Nieuwegein Netherlands	State of Nationality  DE  NL  Telephone No.  Facsimile No.	
The International Bureau hereby notifies the applicant that to the person	ress the nationality X the residence	
Name and Address  OLSCHEWSKI, Armin, Herbert, Emil, August Strösselstrasse 8 D-97422 Schweinfurt Germany	State of Nationality DE DE Telephone No.  Facsimile No.	
	Teleprinter No.	
3. Further observations, if necessary:		
4. A copy of this notification has been sent to:		
X the receiving Office the International Searching Authority	the designated Offices concerned  X the elected Offices concerned	
the International Preliminary Examining Authority	other:	
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Peggy Steunenberg	
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38	



# **PCT**

# NOTIFICATION OF THE RECORDING OF A CHANGE

(PCT Rule 92bis.1 and Administrative Instructions, Section 422)

From t	he IN	TERNA	TIONAL	L BUREAU
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To:

DE BRUIJN, Leendert, C. Nederlandsch Octrooibureau Scheveningseweg 82 P.O. Box 29720 NL-2502 LS The Hague PAYS-BAS

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Date of mailing (day/month/year)	[]		
11 September 2000 (11.09.00)			
Applicant's or agent's file reference			
BO 41838		IMPORTANT NOT	TIFICATION
International application No.		onal filing date (day/month/	year)
PCT/NL99/00303	18 N	May 1999 (18.05.99)	
	<u> </u>		
The following indications appeared on record concerning:	<del>-</del>		
X the applicant X the inventor	the age	nt the comm	non representative
Name and Address		State of Nationality	State of Residence
VAN WINDEN, Johannes, Albertus		NL	NL
Molenwal 4 NL-3421 CM Oudewater		Telephone No.	
Netherlands			
Netherlands		Facsimile No.	
		Teleprinter No.	<del>5.5 </del>
·			
2. The International Bureau hereby notifies the applicant that the	he following	change has been recorded	concerning:
the person the name X the add		the nationality	X the residence
Name and Address		State of Nationality	State of Residence
VAN WINDEN, Johannes, Albertus		NL	DE
Mönchhofstrasse 3B D-69120 Heidelberg		Telephone No.	
Germany		Facsimile No.	
,		1 000111110 1101	
		Teleprinter No.	*
3. Further observations, if necessary:			
,			
4. A copy of this notification has been sent to:			
X the receiving Office	[	the designated Offices	concerned
the International Searching Authority	Ì	X the elected Offices cor	ncerned
the International Preliminary Examining Authority	ļ	other:	
	Authorized	officer	

Facsimile No.: (41-22) 740.14.35

The International Bureau of WIPO 34, chemin des Colombettes

1211 Geneva 20, Switzerland

Peggy Steunenberg

Telephone No.: (41-22) 338.83.38

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1	19 SEP 300	PATENT	COOPERAT	TREAT'
INGEK	. •	<u>\</u>		

Paraaf Bewerken PCT

Date of mailing (day/month/year)

# From the INTERNATIONAL BUREAU To:

# NOTIFICATION OF THE RECORDING OF A CHANGE

(PCT Rule 92bis.1 and Administrative Instructions, Section 422)

DE BRUIJN, Leendert, C. Nederlandsch Octrooibureau Scheveningseweg 82 P.O. Box 29720 NL-2502 LS The Hague PAYS-BAS

11 September 2000 (11.09.00)	
Applicant's or agent's file reference BO 41838	IMPORTANT NOTIFICATION
International application No.	International filing date (day/month/year)
PCT/NL99/00303	18 May 1999 (18.05.99)
The following indications appeared on record concerning:      X the applicant      X the inventor	the agent the common representative
Name and Address	State of Nationality State of Residence
OLSCHEWSKI, Armin, Herbert, Emil,	DE NL
August Nedereindseweg 121	Telephone No.
NL-3438 AC Nieuwegein	
Netherlands	Facsimile No.
	Teleprinter No.
2. The International Bureau hereby notifies the applicant that the	following change has been recorded concerning:
the person the name X the addre	ss the nationality X the residence
Name and Address	State of Nationality State of Residence
OLSCHEWSKI, Armin, Herbert, Emil,	DE DE
August Strösselstrasse 8	Telephone No.
D-97422 Schweinfurt	
Germany	Facsimile No.
	Teleprinter No.
3. Further observations, if necessary:	
4. A copy of this notification has been sent to:	
X the receiving Office	the designated Offices concerned
the International Searching Authority	X the elected Offices concerned
the International Preliminary Examining Authority	other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Peggy Steunenberg

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35

# PATENT COOPERATION TREATY

**PCT** 

# NOTIFICATION OF THE RECORDING OF A CHANGE

(PCT Rule 92bis.1 and Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

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DE BRUIJN, Leendert, C. Nederlandsch Octrooibureau Scheveningseweg 82 P.O. Box 29720 NL-2502 LS The Hague

	PAYS-BAS
Date of mailing (day/month/year)	11
11 September 2000 (11.09.00)	
Applicant's or agent's file reference BO 41838	IMPORTANT NOTIFICATION
International application No.	International filing date (day/month/year)
PCT/NL99/00303	18 May 1999 (18.05.99)
1. The following indications appeared on record concerning:    X   the applicant   X   the inventor	the agent
2. The International Bureau hereby notifies the applicant that the	he following change has been recorded concerning:
the person the name X the add	
Name and Address	State of Nationality State of Residence
VAN WINDEN, Johannes, Albertus	NL DE
Mönchhofstrasse 3B D-69120 Heidelberg	Telephone No.
Germany	
	Facsimile No.
	Teleprinter No.
3. Further observations, if necessary:	
4. A copy of this notification has been sent to:	
X the receiving Office	the designated Offices concerned
the International Searching Authority	X the elected Offices concerned
the International Preliminary Examining Authority	other:
L. Silve international Teaming Additionty	
	Authorized officer

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Peggy Steunenbe

Facsimile No.: (41-22) 740.14.35 Telephone No.: (41-22) 338.83.38





# INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference		of Transmittal of International Search Report 220) as well as, where applicable, item 5 below.	
BO 41838 International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)	
PCT/NL 99/00303	18/05/1999	18/05/1998	
SFK ENGINEERING & RESEARCH	H CENTRE B.V. et al.		
according to Article 18. A copy is being tra	of a total of4 sheets.		
X It is also accompanied by	a copy of each prior art document cited in this	s report.	
Basis of the report			
<ul> <li>With regard to the language, the language in which it was filed, unli</li> </ul>	international search was carried out on the ba ess otherwise indicated under this item.	sis of the international application in the	
the international search w Authority (Rule 23.1(b)).	as carried out on the basis of a translation of	the international application furnished to this	
was carried out on the basis of the		nternational application, the international search	
	rnational application in computer readable for	m.	
furnished subsequently to	this Authority in written form.		
furnished subsequently to	this Authority in computer readble form.		
	the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.		
the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished			
2. Certain claims were four	nd unsearchable (See Box I).	<i>i</i>	
3. Unity of invention is lacking (see Box II).			
4. With regard to the title,			
the text is approved as submitted by the applicant.			
the text has been establish	ned by this Authority to read as follows:		
		ity as it appears in Box III. The applicant may, port, submit comments to this Authority.	
6. The figure of the drawings to be publi	shed with the abstract is Figure No.	1	
as suggested by the applic	cant.	None of the figures.	
X because the applicant faile	ed to suggest a figure.		
because this figure better	characterizes the invention.		

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# REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office	e use only	_
International Application No.		
International Piling Date		
	•	
Name of receiving Office and "PCT in	narcational Application"	
Applicant's or agent's file reference	BO 41838	

	Applicant's or agent's file reference (V desired) (12 characters teachment)  BO 41838		
Box No. 1 TITLE OF INVENTION			
Screw actuator, and brake calliper comp	rising such actuator		
BOX No. II APPLICANT			
Name and address: (Family name followed by given name; for a designation. The address must include postal code and name of con address tralicated in this flat is the applicant's State (that is, country of residence is bulloated below.)	legal entity, full official source. This person is also inventor.		
SKP ENGINEERING & RESHARCH CENTRE B.V.	Telephone No.		
P.O. Hox 2350	Pensimile No.		
NL-3430 DT NIEUWEGRIN			
THE NEITHERLANDS	Teleprinter No.		
State (that is, country) of nationality:	State (that is, country) of residence:		
The Netherlands (NL)	the Netherlands (NL)		
This person is applicant all designated the united to the purposes of:	and States eccept		
Box No. III PURTRIER APPLICANT(S) AND/OR (FURT	THER) INVENTOR(S)		
Nume and address: Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Eax is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  This person is:			
OLSCHEMSKI, Armin Herbert Hmil August			
Nedereindseveg 121	X applicant and inventor		
NL-3438 AC NIEUWEGEIN THE NETHERLANDS by market do not fill in below)			
	a warmer up ha be an octobe)		
State (that is, country) of nationality:	State (that is, country) of residence:		
Germany (DE)	The Netherlands (NL)		
This person is applicant sit designment of all designed for the purposes of:	and Statute empore X the United States of America only the States indicated in States of America only the Supplemental Box		
Further applicants and/or (further) inventors are indicated	on a continuation shoot.		
Box No. IV AGENT OR COMMON REPRESENTATIVE: OR ADDRESS FOR CORRESPONDENCE			
The person identified below is hereby/has been appointed to act of the applicans(s) before the competent International Authorities	on behalf correspon representative		
Name and address: (Family name followed by given name: for designation. The address must include postal	e legal entity, full official Telephone No.		
DE BRULIN, Leendert C. et al	70 3527500		
Nederlandsch Octrooibureau	Factimile No.		
Scheveningseweg 82, P.O. Box 29720	70 3527528		
NL-2502 LS The Hague	Teleprinser No.		
···· ····			
Address for correspondencer Mark this check-box where space above is used instead to indicate a special address to	no agent or common representative is has been appointed and the which correspondence should be sent.		
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Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) IF	IVENTOR(5)
Unone of the following sub-leasurit usual, this short should not be in	oloded in the request.
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address leads to be possed cycle and name of country. The country of the address indicated in this flow is the applicant's State (that is country) of residence if no State of residence is indicated below.)  KAPAAN, Henrikus Jan Waterhoen 5  NI_3435 DM NIEUWEGEIN THE NETHERIANDS	This person is:  applicant and inventor  inventor only (f) shie sheet-ber is surfied, do not fill in below.)
State (that is, owner) of nationality:  The Netherlands (NL)  This person is applicant  This person is applicant  States all designated States accept to United States of America (States of America (State	
Name and address: (Family name followed by given name: for a legal entity, full afferial designation. The address must be include posted code and name of country. The country of the address trainment in this flow is the applicant's State (that is, country) of residence if no State of residence is businessed below.)  DRUBT, Clair  283 Route la Carnelas  F-73420 DRUMETTAZ CLARAFOND  FRANCE	This person is:  applicant only  applicant and inventor  inventor only (If this check-hox is marked, do not fill in below.)
State that is country) of astionality:  State (that is, country)  State (that is, country)	of residence: France (FR)
This person is applicant all designated aff designated States camps for the purposes of.	United States the States indicated in the Supplemental Box
Name and address: (Family name followed by given name; for a legal entry, full official derignation. The address must because postal code and name of country. The country of the address indicated in this flow is the applicant's State (that is, country) of residence if he State of residence is buildened below.)  FUCES, Thomas Wilhelm Salierallee 54  D-52066 AACHEN GERMANY	This person is:  applicant only  applicant and inventor  inventor only (I site cluck-her is seried, do not fill to below)
State (that is, country) of nationality:  State (that is, country)	
GErmany (DE)   Germany (DE)   This person is applicant   ull designated   ull designated States except   the United States of America   v. of	a United States the States Indicated in the Supplemental Box
Name and address: (Family name followed by given name: for a legal entity, fall afficial designation. The address dean inches posted order and name of country. The bouncy of the address bediened in this flag is the applicant's State (that is, country) of residence if no State of residence is trained below.)  ZWARTS, Jacobus  Carmen Lasm 5  NL-3438 VA NIBERESEIN  THE NETHERLANDS	This person is:  spplicent only  spplicent and inventor  traventor only (If the chack-loss is marked, do not fill to below)
State (ther is, commercy) of nationality:  The Netherlands (NL)  State (ther is, commercy) of nationality:  The Netherlands	
This person is applicant States of States of America	the Council indicated in the Supplemental Box.
Further applicators and/or (further) invoctors are indicated on another continuation si	Med.

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Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)					
If nous of the following sub-becom is used, this sheet should not be included in the request.					
Name and address:   family name followed by given name; for a legal entity, full afficial designation. The padress must be tude postal code and name of country. The country of the address inchemed in this Bas is the applicant's State (that is, country) of residence if no State of residence is incheme to incheme to the state.)	This person is:				
WINDEN VAN, Johannes Albertus MOlenwal 4	applicant and inventor				
NL-3421 CM CUDEWATER THE NETHERLANDS	inventor only (f this check-hase to market, the next fill to below.)				
State (that is, country) of nationality: State (that is, country) of					
The Netherlands (NL)  The Netherlands (NL)	Cis (NL)  United States T the States indicated in				
mr me purposes es:	United States the States Indicated in America cally the Supplemental Sex				
Name and address: (Family name followed by given name: for a legal entity, full afficial designation. The address must be take postal code and name of country. The country of the address tradicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  RINEMA, Andries Christian  Icidsense 73  NL-3531 BE UTRECHT  THE NETHERLANDS	This person is:  applicant only  applicant and inventor  beventor only (If this cluck-hor is marked, do not fill in below)				
State (that is, country) of nationality:					
The Netherlands (NL)  The Netherlands (NL)	C United States T the States indicated in				
not the bestune or	Control States the States indicated in the Supplemental Box				
Nume and address: (Family name followed by given name; for a legal entity, full official derignation, The address must include postal code and name of country. The address must include postal code and name of country. The address in address indicated in this flow is the applicant a State (that is, country) of residence if he State of residence is indicated below.)					
GURKA, Jiri Penz 290 A-4441 BEHAMBERG AUSTRIA	This person is:    applicant only				
GURKA, Jiri Peruz 290 A-4441 SISHAMBIERG AUSTRIA  State (that is country) of nationality:  State (that is country)	expilement and inventor  specificant and inventor  inventor only (If sits clearly for is marked, do not fill in below)  of residence:				
GURKA, Jiri Persz 290 A-4441 BEHAMBERG AUSTRIA  State (that is, country) of nationality: Austria (AT)  This person is applicant all designated and designated States except for the purposes of:  States all designated and designated are because of America.	expilement and inventor  specificant and inventor  inventor only (If sits clearly for is marked, do not fill in below)  of residence:				
GURKA, Jiri Periz 290 A-4441 BEHAMBERG AUSTRIA  State (that is, country) of nationality: Austria (AT)  This person is applicant all designant are the purposes of:  Name and address: (Family name followed by given name: for a legal entity, full affected designation. The address: must include postal code and name of country. The country of the address tudicated in this Ray is the applicant a State (that is, country) of residence is inclinated belone.)	spplicant and inventor inventor only (8 star check-box to marked, do not \$18 to below)  of residence:  This pareon is:  applicant and inventor  spplicant and inventor  inventor only (8 star check-box in the supplemental Box  inventor only (8 star check-box is marked, do not fill in below)				
GURKA, Jiri Periz 290 A-4441 SISHAMBERG AUSTRIA  State (that is country) of nationality:  State (that is country) of nationality:  Austria (AT)  This person is applicant will designated will designated States except of the purposes of:  Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address technology is the applicant a State (that is, country) of residence is indicated below.)  State (that is, country) of nationality:  State (that is, country) of nationality:	spplicant and inventor inventor only (8 star chack-box to marked, do not \$18 to below)  of residence:  This person is:  spplicant and inventor  inventor only (8 star chack-box in applicant only (8 star chack-box is marked, do not \$18 to below)  of residence:				
GURKA, Jiri Periz 290 A-4441 SEPAMBERG AUSTRIA  State (that is, country) of nationality: Rightina (RT)  This person is applicant for the purposes of:  State    all designated   all designation.  Nature and advance (Pamily name followed by given names: for a legal epity, full official designation. The advances must include postal code and name of country. The country of the advances inclinated in this Box is the applicant 's State (that is, country) of residence if he State of residence is inclinated below.)  State (that is, country) of nationality:  State (that is, country) of nationality:	spplicant and inventor inventor only (8 star check-box to marked, do not \$18 to below)  of residence:  This pareon is:  applicant and inventor  spplicant and inventor  inventor only (8 star check-box in the supplemental Box  inventor only (8 star check-box is marked, do not fill in below)				
GURKA, Jiri Periz 290 A-4441 SISHAMBERG AUSTRIA  State (that is country) of nationality:  State (that is country) of nationality:  Austria (AT)  This person is applicant will designated by given name: for a legal entity, full affected designation. The address: (Family name followed by given name: for a legal entity, full affected designation. The address indicated postal code and name of country. The country of the address indicated in this Roy is the applicant a State (that is, country) of residence is indicated below.)  State (that is, country) of nationality:  State (that is, country) of nationality:  State (that is, country) of nationality:	spplicant and inventor    spplicant and inventor   inventor only (f sto check-box to marked, do not fill in below)   colored States   the States indicated in America only   the Supplemental Box   spplicant only   spplicant only   spplicant only   inventor only (f sto check-box to marked, do not fill in below)   of residence:   the United States   the States indicated in of America only   the States indicated in the Supplemental Box				

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# PATENT COOPERATION TREATY PCT

	REC'D 23	JUN 2000
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# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

<b>BO 4183</b>	Applicant's or agent's file reference			See Notific	cation of Transmittal of International
	8		FOR FURTHER ACTION	Preliminar	y Examination Report (Form PCT/IPEA/416)
Internation	al appli	cation No.	International filing date (day/mont	h/year)	Priority date (day/month/year)
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Internation F16D65/		nt Classification (IPC) or n	national classification and IPC		
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1. This and i	interna s trans	ational preliminary exar smitted to the applicant	mination report has been prepare according to Article 36.	ed by this Int	ernational Preliminary Examining Authority
2. This	REPC	PRT consists of a total of	of 5 sheets, including this cover	sheet.	
<u>t</u>	see R	mended and are the ba	asis for this report and/or sheets 607 of the Administrative Instruc	containing r	on, claims and/or drawings which have ectifications made before this Authority the PCT).
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# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/NL99/00303

I. I	Bas	is of	th	report
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1. This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.):

	Des	cription, pages:				
	2		as originally filed			
	1,3-	5	as received on	02/05/2000	with letter of	02/05/2000
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	1-6,	17-24	as originally filed			
	7-16	6,25-34	as received on	02/05/2000	with letter of	02/05/2000
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2.	The	amendments have	e resulted in the cancellation of:			
		the description,	pages:			
		the claims,	Nos.:			
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4.	Ado	litional observation	s, if necessary:			

- V. R asoned statement under Articl 35(2) with r gard to novelty, inv ntiv step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims 1-34

No:

Yes:

Claims 1-34

Claims

No:

Claims

Industrial applicability (IA)

Inventive step (IS)

Yes:

Claims 1-34

No:

Claims

2. Citations and explanations

see separate sheet

# VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

Reference is made to the following documents:

D1: GB-A-2 291 949 D2: EP-A-0 275 783.

## Re Item V

The subject-matter of first independent claim 1 meets the requirements of Article 1. 33(1) PCT.

D1 discloses as closest prior art an nut-screw type actuator according to the preamble of claim 1 (see Fig. 1).

From this known actuator, the subject-matter of claim 1 differs in that the nut is fixed into the housing, thereby supporting rotatably the screw by means of rolling elements.

Thus, the subject-matter of claim 1 is new in the sense of Article 33(2) PCT.

The problem to be solved by the present invention can be considered as providing an actuator with compact design. Since the nut is fixed, no further rotational bearing is necessary for the screw, and due to this omission of a part, the actuator is more compact.

Among the cited documents, only D2 shows an actuator wherein the screw (15) effects simultaneously a rotational and a translational movement with regard to the housing. The nut (13), however, is not fixed, but additionally rotating in order to further reduce the translational speed of the screw (see Fig. 3, column 9, lines 3 to 50).

Therefore, the subject-matter of claim 1 is not derivable in an obvious manner from the prior art and involves, thus, an inventive step in the sense of Article 33(3) PCT.

Dependent claims 2 to 29 relate to further embodiments of the invention according 2. to claim 1;

consequently, their respective subject-matter meets also the requirements of Article 33(1) PCT.

- 3. Second, third and fourth independent claims 30, 31 and 34 relate to a brake calliper, a continuously variable transmission and a clutch, respectively, each of these comprising an actuator according to claim 1; therefore, their respective subject-matter meets the requirements of Article 33(1) PCT.
- Dependent claims 32 and 33 relate to further embodiments of the transmission according to claim 31;
   consequently, their respective subject-matter meets also the requirements of Article 33(1) PCT.

## Re Item VIII

5. Claim 6 is not clear due to its incorrect back-reference (Article 6 PCT).

# **PCT**

#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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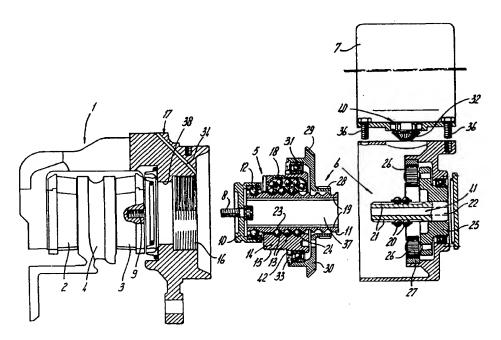
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#### **Published**

With international search report.

(54) Title: SCREW ACTUATOR, AND BRAKE CALLIPER COMPRISING SUCH ACTUATOR



(57) Abstract

A screw actuator comprises a housing (17), a motor (7), an actuating member (10) and a screw mechanism (5) which provides a linear movement of the actuating member with respect to the housing in response to a rotational movement of the motor, which screw mechanism comprises a screw (11), a nut (14) engaging each other by rolling elements (13), one of said screw and nut being rotatably supported with respect to the housing, and a reduction gear means (6). The nut is fixed with respect to the housing, and the screw is rotatably supported with respect to the housing by means of the rolling elements.

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# Screw actuator, and brake calliper comprising such actuator

The invention is related to a screw actuator, comprising an actuating member and a screw mechanism having a screw, nut and rolling elements, one of which screw and nut is rotatably supported with respect to the housing and providing a linear movement of the actuating member with respect to the housing in response to a rotational movement of the motor, and a reduction gear means.

Such screw actuator is known from WO-A-9603301. Said known screw actuator comprises a screw mechanism which is supported with respect to the housing by means of a bearing capable of accommodating axial and/or radial loads, e.g. an axial thrust bearing for accommodating the axial forces exerted on the brakepads.

This screw mechanism is a so-called roller screw mechanism. Depending on the application condition constraints i.e. space available, and load, one can select a roller screw or a ball screw type actuator. Specific application considerations for a roller screw is that such roller screw mechanism provides a high power density, which means that within specific dimensional constraints, a relatively high load carrying capacity can be provided. Said carrying capacity however is predominantly related to axial loads. With respect to radial loads, the carrying capacity is less favourable compared to a ball screw. A roller screw mechanism is in general more sensitive with respect to radial loads and misalignment.

Another specific component in a roller screw mechanism is the cage which is necessary to space the rollers. In high speed applications this cage mass results in higher starting torques.

The object of the invention is to provide an improved actuator. This object is achieved in that the nut is fixed with respect to the housing, and the screw is rotatably supported with respect to the housing by means of the rolling elements. Said rolling elements may comprise rollers or balls.

In order to obtain about the same load bearing capacity as in a roller screw mechanism, the pitch diameter of the rolling balls, the ball diameter and its contact angle with screw and nut, and the number of turns should be designed such that appropriate dimensions and the required load carrying capacity are provided. However, as the rolling elements of the screw mechanism can also act as bearing elements for supporting the rotating screw, no separate bearing is necessary to take

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up the axial load. As a result, the overall dimensions for a ball screw mechanism may remain limited in order to meet application requirements.

The ball screw mechanism is less sensitive with respect to radial loads, which makes it less vulnerable for misalignments. Also, no cage is needed for recirculation of the balls. Instead, recirculation of the balls may be obtained by means of recirculation tube or hole plug between the first and the last ball row or for each ball turn.

The axial moving and rotating screw according to the invention may be driven by the reduction gear means through a coupling means which allows axial displacements. Said coupling means may comprise a drive shaft accommodated within a bore in the screw, the surface of the drive shaft and the bore having axial grooves which engage each other through balls or splines.

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The screw may engage the actuating member through a bearing capable to cope with radial and axial load in order to stop the rotating motion in relation to the moving actuating member.

The reduction gear means is preferably contained in a reduction gear module and the screw mechanism is contained in a screw mechanism module.

The actuating member may be executed as a piston, which is slidably held within a cylinder space of the housing. Said piston can be held non-rotatably by means of a groove and pin assembly. The motor drive module can be mounted in-line with the actuator or in angled position.

For a right angle position of the motor module, the reduction gear means may comprise one or more reduction steps with at least part of a planetary gear system having a stationary outer ring gear wheel with inwardly pointing gear teeth. In particular, the reduction gear means may comprise satellite gear wheels which mesh with the ring gear wheel and which are accommodated on a carrier connected to a rotary shaft engaging the screw mechanism, and the sun gear wheel of the planetary gear system may be accommodated on a drive shaft of the drive module. This system provides an optimal axial compactness of the application.

The sun gear wheel of the reduction gear means is connected to an angled or right angle gear reduction e.g. a bevel gear which mates with a motor driven bevel pinion. Said sun gear wheel and the bevel gear are carried out as a unitary gear wheel which is supported with respect to the nut of the screw mechanism by means

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of a rolling element bearing. In order to achieve an appropriate reduction, the pitch diameter of the bevel gear is larger than the pitch diameter of the sun gear wheel.

Furthermore, a sensor fixed on a bearing or near the motorshaft may be provided for detecting rotational and/or translational movements of the screw mechanism or other operating parameters. Also, control means may be provided, said control means having an input for a control signal, e.g. from a brake pedal, and being connected to the sensor for controlling the electric motor on the basis of the control signal and the signal from the sensor. The sensor is in particular suitable for obtaining force feedback, wear compensation and/or maintenance indication.

The actuator according to the invention can be applied for different purposes. In particular, the actuator is suitable for use in a brake calliper for an electrically actuatable disc brake, said calliper comprising an actuator as described before, and a claw piece carrying two opposite brake pads, said actuator comprising a screw and a nut one of which is rotatably supported with respect to the housing by means of an angular bearing, and a reduction gear means.

The invention will further be described with reference to the embodiments of figures 1 and 2.

Figure 1 shows a brake calliper, comprising an actuator according to the invention, in exploded view.

Figure 2 shows the brake calliper according to figure 1, in assembled state.

Figure 3 shows a detail.

Figure 4 shows a detail of the ball screw.

Figure 5 shows a further embodiment.

The brake calliper shown in figures 1 and 2 comprises a claw piece 1 carrying a fixed brake pad 2 and a displaceable brake pad 3. Said brake pads 2, 3 can be brought into co-operation with brake disc 4.

The displaceable brake pad 3 engages a ball screw mechanism 5 which by means of reduction gear means 6 is driven by motor 7. Said motor 7 may be provided with a sensor 40, connected to the motor shaft.

More in particular, the displaceable brake pad 3 is connected by means of bolt 8 and screwthreaded hole 9 to an actuating member 10. Said actuating member 10 engages the screw 11 by means of an bearing 12 capable to take up axial load. Said

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actuating member is carried out as a piston 10, which is slidably, but non-rotatably held in a cylinder space 38 in the housing 17.

By means of balls 13, screw 11 engages the nut 14. Said nut 14 has an external screwthread 15, by means of which the nut 14 is connected to the housing 17. Moreover, a recirculating tube 18 for recirculating the balls 13 upon rotating the screw 11 with respect to said nut 14, extends through the nut.

The screw 11 has a bore 37 with internal grooves 19, which engage balls 20. Said balls 20 also engage the external grooves 21 of drive shaft 22.

By rotating drive shaft 22 through reduction gear means 6 and motor 7, the screw 11 is rotated as well. As a result, it is displaced backward or forward by the co-operation of its screw type groove 23 with the screw type groove 24 of the nut 14, by means of the balls 15.

Drive shaft 23 is connected to a carrier 25, which carries satellite gear wheels 26. Said satellite gear wheels 26 each engage a ring gear wheel 27 as well as a sun gear wheel 28.

Sun gear wheel 28 forms a unity with bevel gear 29 which together form a unitary gear wheel 30. Said unitary gear wheel 30 by means of bearing 31 is supported with respect to the nut 14.

The bevel gear 29 engages the bevel pinion 31, which in turn is driven by motor 7.

The bearing 31, which supports the unitary gear wheel with respect to the nut 14, comprises a sensor 33 for detecting the rotations of the screw mechanism, and thereby the displacement of the displaceable brake pad 3.

Housing 17 comprises a bore 34, through which a wire can be guided to the outside from said sensor 33.

The carrier 25 is supported with respect to the housing 17 by means of bearing 35; by means of bolts 36, motor 7 is connected to said housing 17.

According to the detail of figure 3, the connection between brake pad 3 and piston 10 may alternatively be obtained through edges 43 which are slidable mounted in grooves 43 of piston 10.

In order to accommodate the axial forces exerted on the ball screw mechanism when applying a brake force on the brake pads 2,3, the screw threads 23, 24 of screw 11 respectively nut 14 can be adapted according to the embodiment shown in figure

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4. In cross-section, the threads 23 have raised parts 51, whereas the threads 24 have raised parts 52.

As a result of these shapes, the working lines 50 as defined by the contact angles and the ball conformity with the ball tracks, which define the load paths which play a role in force transfer, are in a more inclined position. The contact angle is between 45-70° in order to create optimized load carrying capacity for the ball screw in relation to the applied load specification.

The embodiment of figure 5 comprises a motor 61, having a stator 53 and a rotor 54 connected to a sleeve 55. The sleeve 55 is connected through the intermediate piece 56 to drive shaft 22. Alternatively, the sleeve 55 may be connected to the drive shaft 22 through a gear reduction.

Drive shaft 22 drives screw 11 of screw mechanism 5, through the groove 21, 19 in the respectively the drive shaft 22 and the screw 11, as well as through the balls 20 accommodated in said grooves 21, 19.

Via thrust bearing 69, the screw 11 can be connected to e.g. a brake pad in case of an actuator applied in a claw piece. The thrust bearing 69 comprises two rings 67, 68, and balls 12. One of the rings 67 may from a unity with the screw 11. The other ring 68 may comprise a locking groove 66 for locking a brake pad (not shown) thereto.

The screw 11 is rotatably and translatably held in a cylinder space 59 defined by insert ring 58 inserted in nut 14 of the screw mechanism 5. Nut 14 and screw 11 of screw mechanism 5 engage each other through balls 13, accommodated in respective screwthreaded threads of nut 14 and screw 11.

Sleeve 55 connected to rotor 54 of motor 61 is rotatably supported on the fixed nut 14 by means of bearings 63. These bearings have an outer race accommodated in the sleeve 55, and an inner ring 57 having appropriate raceways as well. The inner ring 57 is locked by means of locking ring 65. Furthermore, these bearings 63 have balls 64.

Alternatively, two separate standard ball or roller bearings may be applied. The housing of the actuator is indicated by reference number 62.

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#### Claims

- 1. Screw actuator, comprising a housing (17), a motor (7), an actuating member (10) and a screw mechanism (5) which provides a linear movement of the actuating member with respect to the housing in response to a rotational movement of the motor (7), which screw mechanism (5) comprises a screw (11), a nut (14) engaging each other by rolling elements (13), one of said screw (11) and nut (14) being rotatably supported with respect to the housing (17), and a reduction gear means (6), characterized in that the nut (14) is fixed with respect to the housing (17), and the screw (11) is rotatably supported with respect to the housing by means of the rolling elements (13).
- 2. Actuator according to claim 1, wherein the screw (11) is rotationally driven by the reduction gear means (6) through a coupling means (19-22) which allows axial displacements.
  - 3. Actuator according to claim 2, wherein the coupling means comprises a shaft (22) accommodated within a bore (37) in the screw (11), the surface of the shaft (22) and bore having axial grooves (19, 21) which engage each other through balls (20).
  - 4. Actuator according to any of the preceding claims, wherein the reduction gear means (6) is contained in a reduction gear module and the screw mechanism (5) is contained in a screw mechanism module.
- 5. Actuator according to claim 4, wherein the reduction gear means (6) comprises at least two gear reduction steps.
- 6. Actuator according to claim 6, wherein the reduction gear means comprises gear reduction steps of a different type, such as a planetary gear reduction step (25-28) and a right angle gear reduction step (28-31).

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- 7. Actuator according to any of the preceding claims, wherein the screw (11) engages the actuating member (10) through a bearing (12) capable to carry axial and/or radial load.
- 8. Actuator according to any of claims 1-6, wherein the screw (11) is rigidly connected to the actuating member (10).
- 9. Actuator according to any of the preceding claims, wherein the actuating member is a piston (10), which is slidably held within a cylinder space (38, 59) of the housing (17).
  - 10. Actuator according to claim 7 and 9, wherein the piston (10) is held non-rotatably by means of a groove and pin assembly, or by means of a ball/groove assembly.

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- 11. Actuator according to claims 8 and 9, wherein the piston (10) is rotatably held within the cylinder space (38).
- 12. Actuator according to claim 9, wherein the cylinder space (59) is formed 20 in the nut (14).
  - 13. Actuator according to claim 4, wherein the modules are axially aligned.
- 14. Actuator according to claim 4, wherein the modules are in laterally shifted25 positions.
  - 15. Actuator according to any of the preceding claims, wherein one or two laterally shifted motors are provided.
- 16. Actuator according any of the preceding claims 4-15, wherein the reduction gear means (6) comprises at least part of a planetary gear system having a stationary outer ring gear (27) with inwardly pointing gear teeth.

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17. Actuator according to claim 16, wherein the reduction gear means comprises satellite gear wheels (26) which mesh with the ring gear (27) and which are accommodated on a carrier (25) connected to the shaft (22) engaging the screw mechanism (15).

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18. Actuator according to claim 17, wherein the sun gear wheel (28) of the reduction gear means (6) is connected to a bevel gear (29) which mates with a motor gear, e.g. an angled or right angled gear transmission (32).

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19. Actuator according to claim 18, wherein the sun gear wheel (28) and the bevel gear (29) are carried out as a unitary gear wheel (30) which is supported with respect to the nut (14) of the screw mechanism (5) by means of a rolling element bearing (31).

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20. Actuator according to claim 18 or 19, wherein the pitch diameter of the bevel gear (29) is larger than the pitch diameter of the sun gear wheel (28).

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21. Actuator according to any of the preceding claims, wherein a sensor (33) is provided for detecting rotational and/or translational movements of the screw mechanism (5).

22. Actuator according to claim 21, wherein control means are provided, said control means having an input for a control signal, e.g. from a brake pedal, and being connected to the sensor (33) for controlling the electric motor (7) on the basis of the control signal and the signal from the sensor (33).

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23. Actuator according to claim 22, wherein the control device is arranged for providing a maintenance indication signal.

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24. Actuator according to any of the preceding claims, wherein balls or rollers (13) of the screw mechanism (5) are coated so as to maintain the proper function of the screw (11) under dry-running conditions such as a diamond-like carbon coating.

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- 25. Actuator according to any of the preceding claims, wherein the motor (7) is an electric motor.
- 26. Actuator according to any of claims 1-24, wherein the motor (7) is a hydraulic motor.
  - 27. Actuator according to any of claims 1-24, wherein the motor (7) is a pneumatic motor.
- 28. Actuator according to any of the preceding claims, wherein at least one of the screw, nut, rolling elements and/or reduction gear components is obtained by hard turning.
- 29. Actuator according to any of the preceding claims, wherein the screw mechanism comprises rolling balls, and the grooves in the screw and nut are arranged for adapted contact angles in view of improved axial load bearing capacity.
  - 30. Reduction gear module for use in the actuator according to any of claims 2-27.
  - 31. Screw mechanism module for use in the actuator according to any of claims 2-27.

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- 32. Drive module for use in the actuator according to any of claims 2-29.
- 33. Brake calliper for an electrically actuatable disc brake, said calliper comprising an actuator according to any of the preceding claims 1-29, and a claw piece (1) carrying two opposite brake pads (2, 3), said actuator comprising a screw mechanism (5) the screw (11) of which is rotatably supported with respect to the housing (17) by means of the balls (23) of the screw mechanism (5), a reduction gear means (6) and a motor (7).

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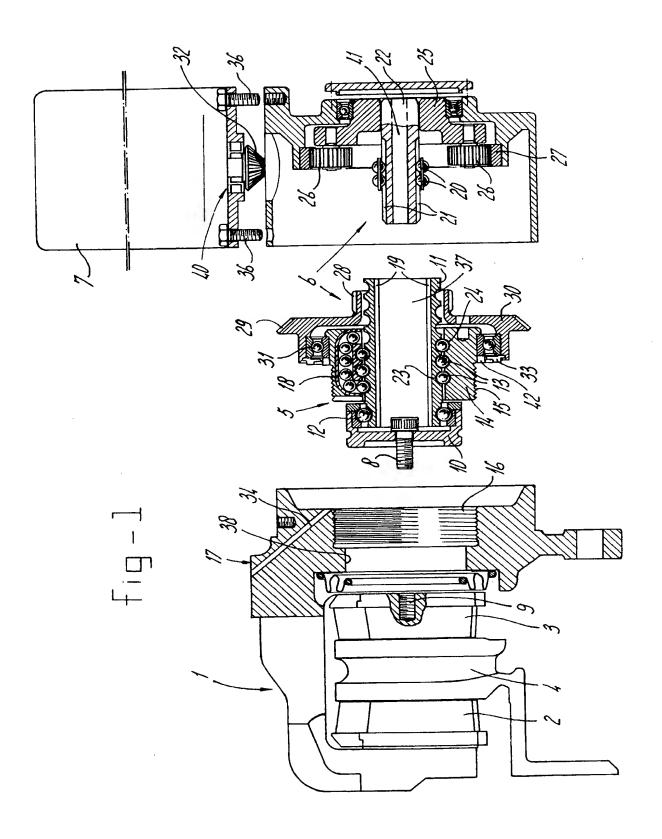
34. Continuously variable transmission comprising two pulleys which each have two discs enclosing a V-shaped groove, as well as a belt engaging said grooves, the discs of each pulley being movable towards and away from each other so as to continually change the running radius of the belt, wherein the discs of each pulley are displaceable by means of an actuator according to any of claims 1-29.

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- 35. Continuously variable transmission according to claim 34, wherein the drive of the discs comprises hydraulic means.
- 36. Continuously variable transmission according to claim 34, wherein the drive of the discs comprises mechanical means.

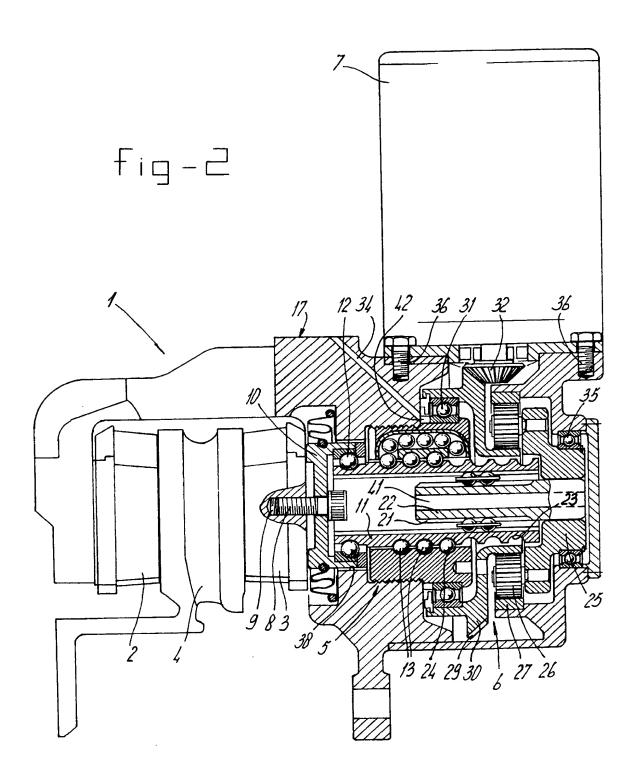
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37. Clutch, comprising two clutch plates which can be brought into frictional engagement for transferring a drive couple, said clutch plates being connected to the shaft, comprising an actuator according to any of claims 1-29, said actuator having a hollow screw which accommodates one of the shafts.

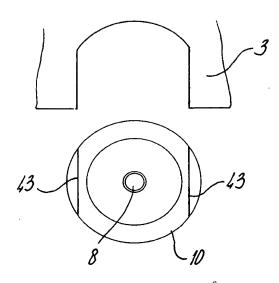


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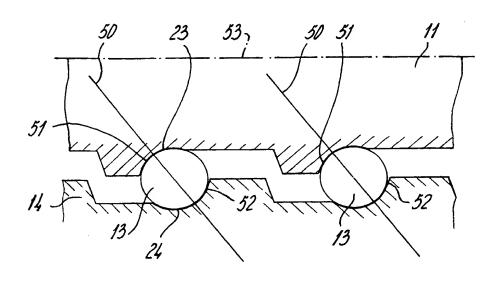
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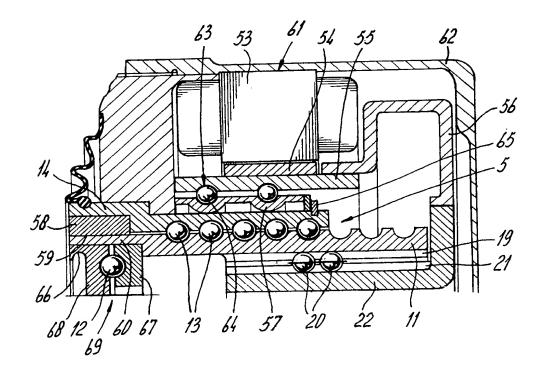


$$fig-4$$



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$$fig-5$$





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Box III TEXT OF THE ABSTRACT (Continuation of item 5 f the first sh et)

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The abstract is modified as follows:

line 1: after "housing" insert "(17)";
line 1: after "motor" insert "(7)";
line 1: after "member" insert "(10)";
line 2: after "mechanism" insert "(5)";
line 4: after "screw" insert "(11)";
line 4: after "nut" insert "(14)".
line 4: after "elements" insert "(13)";
line 6: after "means" insert "(6)";
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